



COPY OF PAPERS
ORIGINALLY FILED

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 00-629-B)

In the Application of:)
Ager et al.)
Serial No.: 09/927,214)
Filing Date: August 10, 2001)
For: Method and Apparatus for Optimization of)
High-Throughput Screening and Enhancement)
Of Biocatalyst Performance)

Group Art Unit: 2123
Examiner: Unassigned
Confirmation No.: 4695

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division
Customer Correction Branch

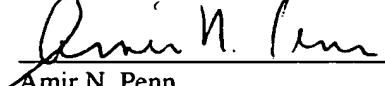
Dear Sir:

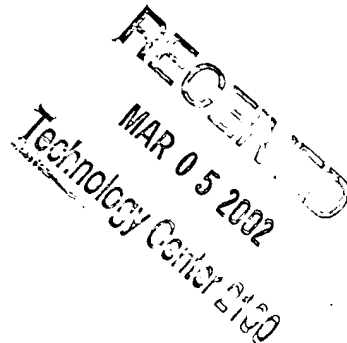
In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Request for Corrected Filing Receipt;
 - b) Copy of Filing Receipt with changes noted thereon; and
 - c) Postcard.
2. With respect to fees:
 - a) No fee is required.
 - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on January 14, 2002.

Respectfully submitted,

Date: January 14, 2002


Amir N. Penn
Registration No. 40,767





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 00-629-B)

In the Application of:

Ager et al.

Serial No.: 09/927,214

Filing Date: August 10, 2001

For: Method and Apparatus for Optimization of
High-Throughput Screening and Enhancement
Of Biocatalyst Performance

Group Art Unit: 2123

Examiner: Unassigned

Confirmation No.: 4695

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division
Customer Correction Branch

Dear Sir:

1. Attached is a copy of the incorrect filing receipt, with corrections appropriately indicated, which was received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

Error In:

Applicant(s)

David Ager, Residence Not Provided;
John Aikens, Residence Not Provided;
Michael Flavin, Residence Not Provided;
Eric Kirchhoff, Residence Not Provided

Correct Data:

Applicant(s)

David Ager, Hoffman Estates, Illinois;
John Aikens, La Grange Park, Illinois;
Michael Flavin, Darien, Illinois;
Eric Kirchhoff, Winfield, Illinois

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MAR 05 2002
Technology Center 2100

Error In:

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/224,303 08/10/2000
AND CLAIMS BENEFIT OF 60/174,974 01/06/2000
AND A CIP OF 09/755,779 01/05/2001
AND A CIP OF 09/737,204 12/14/2000
WHICH IS A CON OF 09/443,987 11/19/1999 PAT 6,175,816
WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996

Correct Data:

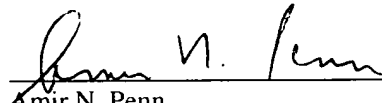
Domestic Priority data as claimed by applicant

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WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: January 14, 2002



Amir N. Penn
Registration No. 40,767



COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/927,214	08/10/2001	2123	355	00-629-B	16	19	2

CONFIRMATION NO. 4695

20306
MCDONNELL BOEHNNEN HULBERT & BERGHOF
300 SOUTH WACKER DRIVE
SUITE 3200
CHICAGO, IL 60606

FILING RECEIPT



OC000000006566609

Date Mailed: 09/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hoffman Estates, Illinois
David Ager, ~~Residence Not Provided~~,
John Aikens, ~~Residence Not Provided~~, La Grange Park, Illinois
Michael Flavin, ~~Residence Not Provided~~, Darien, Illinois
Eric Kirchhoff, ~~Residence Not Provided~~,
Winfield, Illinois

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/224,303 08/10/2000
AND CLAIMS BENEFIT OF 60/174,974 04/06/2000 → 01/05/2000
AND A CIP OF 09/755,779 01/05/2001
AND A CIP OF 09/737,204 12/14/2000 11/19/2000
WHICH IS A CON OF 09/443,987 11/19/1999 PAT 6,175,816 *
WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996
(*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 09/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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Technology Center 2100

Method and apparatus for optimization of high-throughput screening and enhancement of biocatalyst performance

703

Date: 09/17/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 1027 1028 1029 1030 1031 1032 1033 1034 1035 1036 1037 1038 1039 1040 1



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE 03

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/927,214	08/10/2001	David Ager	00-629-B

CONFIRMATION NO. 4695

20306
MCDONNELL BOEHNEN HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
SUITE 3200
CHICAGO, IL 60606



OC000000007562448

Date Mailed: 03/01/2002

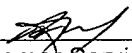
RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☒ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



Customer Service Center
Office of Initial Patent Examination
(703) 308-1202